

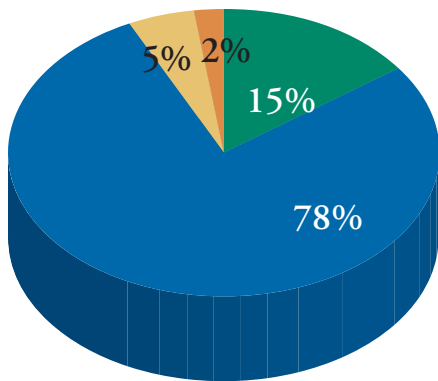
ASSET ALLOCATION MODELS

Name: _____ Date: _____

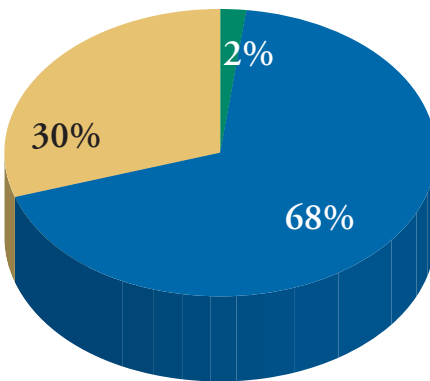
Financial Advisor: _____

Based on the scoring of my Stifel PACT® Risk Tolerance Questionnaire and the information provided, the allocation of my total financial assets should be in accordance with the following Model:

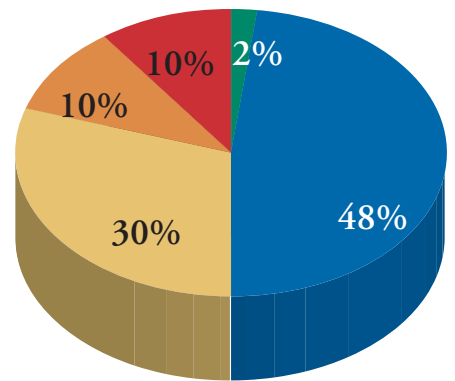
- Conservative
- Moderately Conservative
- Moderate
- Moderately Growth
- Moderately Aggressive
- Aggressive



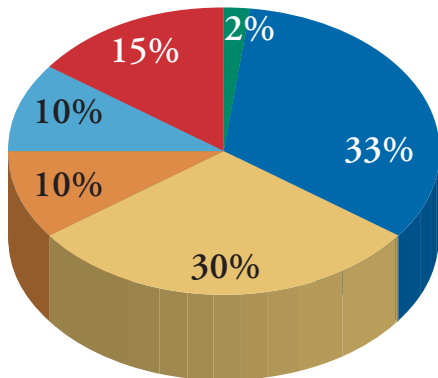
CONSERVATIVE



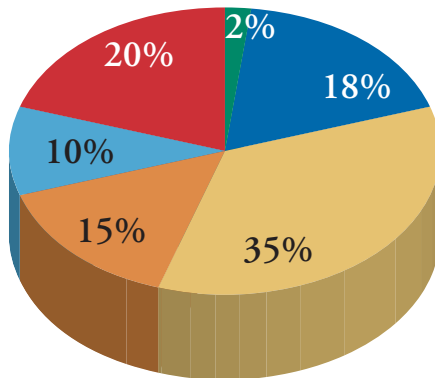
MODERATELY
CONSERVATIVE



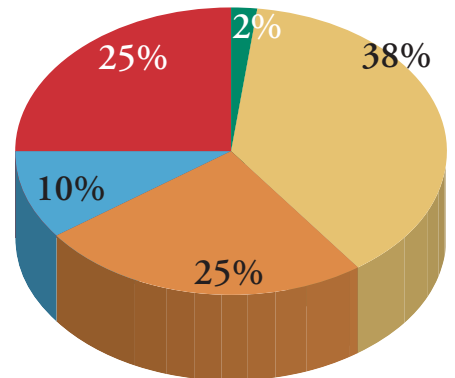
MODERATE



MODERATE
GROWTH



MODERATELY
AGGRESSIVE
GROWTH



AGGRESSIVE
GROWTH

- Cash
- Fixed Income
- Large Cap Equities
- Mid Cap Equities
- Small Cap Equities
- International Equities

The above Asset Allocation Models (the "Model") are intended to provide a guide in the allocation of your total financial assets. The actual allocation of all or any portion of your financial assets may not correlate to the percentages shown for the applicable Model. The Model selected is based upon the written information provided by you and your responses to Stifel's Risk Tolerance Questionnaire. Stifel does not predict nor guarantee actual results of an asset allocation or investments made in connection with a suggested allocation. Any changes in your financial situation should be reviewed with your Stifel Financial Advisor for a determination of whether changes in your portfolio allocation are necessary. Stifel also encourages you to periodically review your allocation with your Stifel Financial Advisor. Asset allocation does not ensure a profit or protect against loss in a declining market. Rebalancing of your allocation may result in tax consequences — please consult your tax advisor. Small company stocks may be riskier and more volatile than larger, more established company stocks. International investing is subject to the risk of currency fluctuations and political and economic events.